



Fredric G. Levin College of Law
Office of the Dean

Spessard L. Holland Law Center
PO Box 117620
Gainesville, FL 32611-7620
352-273-0600

February 23, 2022

MEMORANDUM

TO: Voting Faculty

FROM: Laura A. Rosenbury, Dean

RE: Revised Faculty Meeting Agenda for Friday, February 25, 2022

I have scheduled a faculty meeting on Friday, February 25, 2022, beginning at 2:30 p.m. and ending at the completion of the agenda items. The agenda will be as follows:

1. Approve Faculty Meeting Minutes for February 18, 2022, attached
2. Information Item: Update from Assistant Dean M Smith Regarding Diversity-Related Topics
3. Approve a Visiting Professor to teach Tax courses during the 2022-2023 academic year, CV attached
4. Approve a Visiting Professor to teach Securities Regulation during the spring semester of 2023, CV attached
5. Recommendations for faculty hiring from the Non-Tenure Track Appointments, Retention, and Promotions Committee (Joan Stearns Johnsen, chair). Voting by Qualtrics survey will immediately follow this meeting.
6. Recommendations from the Appointments Committee (Peter Molk and Wentong Zheng, chairs). Voting by Qualtrics survey will immediately follow this meeting.

The meeting will take place virtually, and you may join with the following link:

<https://ufl.zoom.us/j/91937187299?pwd=WGgxV04zYWkvd0VtaUpHVGGzL0RkZz09>

Meeting ID: 919 3718 7299

Passcode: 432788

UF Levin College of Law Faculty Meeting Minutes

February 18, 2022 2:30 p.m.

PRESENT:

Mary Adkins, Mary Jane Angelo, Thomas Ankersen, Rachel Arnow-Richman, Sarah Bishop, Stephanie Bornstein, Yariv Brauner, Annie Brett, Neil Buchanan, Karen Burke, Dennis Calfee, Jonathan Cohen, Robin Davis, Lisa DeSanctis, Nancy Dowd, Teresa Drake, Seth Endo, Barbara Evans, Mark Fenster, Ben Fernandez, William Hamilton, Andrew Hammond, David Hasen, Mindy Herzfeld, Blake Hudson, Joseph Jackson, Jiaying Jiang, E. Lea Johnston, Shani King, Sabrina Little, Charlene Luke, Pedro Malavet, Merritt McAlister, Grayson McCouch, Timothy McLendon, Silvia Menendez, Jon Mills, Peter Molk, Jason Nance, Lars Noah, Kenneth Nunn, Jane O'Connell, Teresa Reid, Robert Rhee, Laura Rosenbury, Elizabeth Rowe, Katheryn Russell-Brown, Joan Stearns Johnsen, Amy Stein, Stacey Steinberg, John Stinneford, Margaret Temple-Smith, Lee-ford Tritt, Henry Wihnyk, Andrew Winden, Michael Wolf, Sarah Wolking, Danaya Wright, Jennifer Zedalis, Wentong Zheng

PRESENT BY PROXY:

Maryam Jamshidi

NOT PRESENT:

Paige Carlos, Judy Clausen, Charles Collier, Kristen Hardy, Berta Esperanza Hernandez-Truyol, Christine Klein, Elizabeth Lear, Steven Willis

Meeting called to order at 2:31 p.m.

1. Approve Faculty Meeting Minutes for January 28, 2022

Dean Rosenbury launched a poll to approve the faculty meeting minutes from January 28, 2022 *Minutes were approved.*

2. Information Item: Update from Assistant Dean M Smith Regarding Diversity-Related topics

Associate Dean Rachel Inman provided an update on diversity-related topics. *Information item only*

3. Information Item: Discussion of Potential Revival and Modification of Visiting Assistant Professor Program

Dean Rosenbury opened the floor to discuss reviving and modifying a visiting assistant professor program. A discussion ensued. *Information item only*

4. Recommendations for faculty hiring from the Non-Tenure Track Appointments, Retention, and Promotions Committee (Joan Stearns Johnsen, chair).

Recommendations for faculty hiring was provided from the Non-Tenure Track Appointments, Retention, and Promotions Committee by Joan Stearns Johnsen.

Voting by Qualtrics survey took place immediately after the meeting.

5. Recommendations from the Appointments Committee (Peter Molk and Wentong Zheng, chairs).

Recommendations for faculty hiring was provided from the Appointments Committee by Peter Molk and Wentong Zheng.

Voting by Qualtrics survey took place immediately after the meeting.

Meeting adjourned at 3:59 p.m.

DAVID ALEXANDER BRENNEN

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EDUCATION

LL.M. in Tax Law

University of Florida, Graduate Tax Program, Gainesville, FL 1994

J.D.

University of Florida, College of Law, Gainesville, FL 1991

B.B.A. in Finance

Florida Atlantic University, Boca Raton, FL 1988

PROFESSIONAL/ACADEMIC HONORS AND LEADERSHIP DEVELOPMENT

President, Southeastern Association of Law Schools, August 2020 – August 2021

Fellow, American Council on Education, 2019-20 Academic Year (Host Institution: St. Olaf College, Northfield, MN)

Certificate of Completion, Society for College and University Planning Workshop: Integrated Strategic Planning for Institutional Success, January 2020

Adviser, *Restatement of the Law: Charitable Nonprofit Organizations*, American Law Institute, 2002-2019

Participant, Harvard University, Institute for Management & Leadership in Higher Education, June 2013

PROFESSIONAL WORK EXPERIENCE OVERVIEW

Academic Administration Positions

Dean, University of Kentucky J. David Rosenberg College of Law July 2009 – June 2020

Deputy Director, Association of American Law Schools August 2007 – June 2009

Permanent University Academic Appointments

Professor of Law, University of Kentucky July 2009 - present

Professor of Law, University of Georgia August 2006 – July 2009

Professor & Associate Professor of Law, Mercer University July 2001 – June 2006

Associate & Assistant Professor of Law, University of Richmond June 1997 - July 2001

Assistant Professor of Law, Syracuse University August 1995 – June 1997

Temporary University Academic Appointments

Visiting Professor of Law, University of Georgia Fall 2005

Visiting Professor of Law, Temple University Spring 2004

Distinguished Visiting Professor of Law, University of Alabama Summer 2003

Adjunct Professor of Business, Florida Ag. & Mech. University Fall 1994

Law Practice Positions

Assistant General Counsel, State of Florida May 1994 – July 1995

Associate Attorney, Messer, Vickers, Caparello, Madsen, et. al., P.A., May 1991 – August 1993

ACADEMIC ADMINISTRATION WORK EXPERIENCE

Dean & Professor

University of Kentucky J. David Rosenberg College of Law, Lexington, KY July 2009 – June 2020

Reporting to Provost, served as leader of a law school at a R1 public research

University with approximately 400 students, 30-35 FT faculty, 12-15 PT faculty, 30-

35 staff, a \$12M budget and a \$24M endowment. Facilitated integration of College

with broader University through collaborative programs and various

interdisciplinary initiatives.

Responsibilities:

- Academic Leadership and Program Enhancement
- Enrollment Management
- Fundraising and Alumni Relations
- Fiscal/Personnel Management
- College Representative on Provost's Deans Council

Selected accomplishments as Dean:

- Secured several \$1M+ gifts, including one \$20M College naming gift
- Raised \$56M in gifts, state appropriations and bonds for new building
- Increased College endowment by 25% (from \$19.2M to \$24.4M)
- Improved faculty pay, budget effectiveness and student activity funding
- Hired over half of FT faculty & conducted over a dozen P&T reviews
- College consistently ranked as Top-10 Best Value Law School
- Modernized Legal Research & Writing program
- Enhanced University dual degree options in liberal arts, business and healthcare
- Established study abroad and foreign student exchange programs
- Enhanced student success in employment and professional licensure
- Increased experiential learning through expansion of externships
- Increased faculty research by 50% in terms of articles published
- Expanded community engagement of students and faculty
- Increased admissions yield and lowered acceptance rates of applicants
- Established scholarships to increase racial/socioeconomic diversity
- Implemented two strategic plans & oversaw ABA accreditation review
- Instituted parental leave policy for College faculty
- Effected transfer of control of Law Library from University to College
- Established Heyburn Initiative for Excellence in the Federal Judiciary
- Chaired searches for Deans of Business School and University Library
- Chaired Deans Council committee on Professional School Funding Model
- Chaired Deans Council Task Force on Online Education
- Served on University Blue Ribbon Panel on Graduate Education

Deputy Director

Association of American Law Schools, Washington, DC

August 2007 – June 2009

Reporting to Executive Director, served as academic leader of nonprofit association that represented 170 of 200 ABA accredited law schools in United States. (2-year appointment)

Responsibilities:

- Membership Review
- Conference/Event Management
- Professional Development Programming for Faculty
- Public Speaking
- Publications Management
- Governing Board Relations
- Institutional Liaison
- Budgeting & Human Resources Management

Selected accomplishments as Deputy Director:

- Managed membership review process for all member law schools
- Assisted in management of several academic conferences
- Oversaw development of conference programming by faculty volunteers
- Delivered multiple public addresses on behalf of association
- Oversaw publication of association newsletter and academic journal
- Managed four association executive board meetings per year
- Represented AALS at 3rd party higher education events and conferences
- Managed support staff and assisted in annual budget analysis

ACADEMIC APPOINTMENTS

LAW SCHOOL COURSES TAUGHT: Federal Income Tax; Taxation of Tax-Exempt Organizations;

Corporate Tax; Estate & Gift Tax; Tax Policy Seminar; State & Local Tax; International Tax; Torts

LEGAL RESEARCH AREAS: Tax Administration; Tax-Exempt Entities; Legal Education; State & Local Tax

Frost, Brown & Todd Professor of Law

July 2020 - present

Professor

July 2009 – June 2020

University of Kentucky J. David Rosenberg College of Law, Lexington, KY

Professor

August 2006 – July 2009

Visiting Professor

Fall 2005

University of Georgia School of Law, Athens, GA

Ellison C. Palmer Professor of Tax Law (Inaugural Holder)

July 2004 – June 2006

Professor

July 2003 – June 2004

Associate Professor

July 2001 – June 2003

Mercer University School of Law, Macon, GA

Visiting Professor

Spring 2004

Temple University School of Law, Philadelphia, PA

Visiting John S. Stone Chairholder of Law

Summer 2003

University of Alabama School of Law, Tuscaloosa, AL

Associate Professor

August 2000 – July 2001

Assistant Professor

June 1997 – July 2000

University of Richmond School of Law, Richmond, VA

Assistant Professor

August 1995 – June 1997

Syracuse University School of Law, Syracuse, NY

Adjunct Professor

Fall 1994

Florida A&M University School of Business, Tallahassee, FL

LAW PRACTICE EXPERIENCE

Assistant General Counsel

May 1994 – July 1995

State of Florida, Department of Revenue, Tallahassee, FL

(Tax Litigation Oversight; Tax Policy Advisory Opinions)

Associate Attorney

May 1991 – August 1993

Summer Associate

Summer 1990

Messer, Vickers, Caparello, Madsen, Lewis, Goldman & Metz, P.A., Tallahassee, FL

(Torts, Tax and Environmental Litigation, Legislative Drafting, Lobbying)

Law Clerk

Summer 1989

Bobo, Spicer & Ciotoli, P.A., West Palm Beach, FL

(Workers' Compensation Litigation)

Law Clerk

January 1989 – March 1991

Moody & Salzman, P.A., Gainesville, FL

(General Litigation)

EDITORIAL ACTIVITIES

- Co-Editor**, Nonprofit Law Prof Blog (with Darryll K. Jones)
(<http://lawprofessors.typepad.com/nonprofit/>) (December 2007 – Present)
- Editor**, Nonprofit and Philanthropy Law Abstracts, (<http://www.ssrn.com/link/nonprofit-philanthropy-law.html>) (August 2006 – Present)
- Co-Editor**, BEYOND ECONOMIC EFFICIENCY IN UNITED STATES TAX LAW (with Brown and Jones) (Wolters Kluwer) (2013)
- Associate Editor**, Taxation News Quarterly, (published by American Bar Association Tax Section) (July 1999 – September 2010)
- Editorial Advisory Board Member**, Community Tax Law Report, 2002 – 2003 (published by Community Tax Law Project) (2002 – 2003)
- Co-Editor**, Taxation Section Newsletter, (published by Association of American Law Schools Section on Taxation) (2000 – 2002)

BOOKS AND BOOK CHAPTERS

- THE TAX LAW OF CHARITIES AND OTHER EXEMPT ORGANIZATIONS: CASES, MATERIALS, QUESTIONS AND ACTIVITIES, 4th ed. (with Jones, Moran and Willis) (Carolina Academic Press) (2021)
- Bob Jones University*, FEMINIST TAX JUDGMENTS (Cambridge) (2017)
- THE TAX LAW OF CHARITIES AND OTHER EXEMPT ORGANIZATIONS: CASES, MATERIALS, QUESTIONS AND ACTIVITIES, 3rd ed. (with Jones, Willis and Moran) (Mathew Bender) (2014)
- A Normative Rationale for the Charitable Tax Exemption*, BEYOND ECONOMIC EFFICIENCY IN UNITED STATES TAX LAW (Wolters Kluwer) (2013)
- Race and Equality Across the Law School Curriculum: The Law of Tax Exemption* (reprinted in Ch. 5), CRITICAL TAX THEORY: AN INTRODUCTION (Cambridge) (2009)
- Property Tax Exemptions* (Ch. 22), BENDER'S STATE TAXATION: PRINCIPLES AND PRACTICE (with Darryll K. Jones) (Matthew Bender) (2009)
- Bob Jones University v. U. S.*, 408 U.S. 564 (1983), ENCYCLOPEDIA OF THE SUPREME COURT OF THE UNITED STATES (Thomson/West) (2008)
- THE TAX LAW OF CHARITIES AND OTHER EXEMPT ORGANIZATIONS: CASES, MATERIALS, QUESTIONS AND ACTIVITIES, 2nd ed. (with Jones, Willis and Moran) (Thomson/West) (2007, 2003)
- STATUTORY SUPPLEMENT TO THE TAX LAW OF CHARITIES AND OTHER EXEMPT ORGANIZATIONS: CASES, MATERIALS, QUESTIONS AND ACTIVITIES, 2nd ed (with Jones, Willis and Moran) (Thomson/West) (2008, 2003)

ARTICLES

- Succeeding in the Candidate Pool: Resources Available at Association of American Law Schools for Persons Interested in Becoming a Law School Dean*, 31 Seattle Univ. L. Rev. 791 (2008)
- The Commerciality Doctrine and "Charitable" Homes for the Aged - State and Local Tax Perspectives*, 75 FORDHAM L. REV. 833 (2007)
- The Charitable Tax Exemption is About Much More Than Efficiency*, 14:3 NONPROFIT QUARTERLY MAGAZINE 67 (2007)
- Introducing the Law of Nonprofit Organizations and Philanthropy*, 41 GA. L. REV. 1099 (2007)
- A Diversity Theory of Charitable Tax Exemption - Beyond Efficiency, Through Critical Race Theory, Toward Diversity*, 4 PITT. TAX REV. 1 (2006)
- Congress Finally Adopts New UBIT Standards in 512(b)(13) for Controlled Entities*, 26:1 NEWS QUARTERLY (ABA Sec. Tax'n, Washington, D.C.), Fall 2006, at 9
- Supreme Court Rules That Contingent Attorney Fees Are Gross Income to Client*, 25:3 NEWS QUARTERLY (ABA Sec. Tax'n, Washington, D.C.), Spring 2005, at 7
- Race and Equality Across the Law School Curriculum: The Law of Tax Exemption*, 54 J. LEG. EDUC. 336 (2004); reprinted in CRITICAL TAX THEORY: AN INTRODUCTION (Cambridge University Press) (2009)
- Race Conscious Affirmative Action by Tax Exempt 501(c)(3) Corporations After Grutter and Gratz*, 77 ST. JOHN'S L. REV. 711 (2003)

- Advising Tax-Exempt Organizations in Georgia* (CLE materials prepared for 2003 CLE conference sponsored by Mercer University School of Law and Macon Bar Association) (available at Mercer University School of Law library) (approx. 50 pgs) (October 2003)
- 2002 Eleventh Circuit Survey: Federal Taxation*, 54:4 MERCER L. REV. 1563 (2003)
- The Potential Impact of the Supreme Court's Pending Decision in Grutter v. Bollinger on Private Universities and Other Tax-exempt Charities*, 22:3 NEWS QUARTERLY (ABA Sec. Tax'n, Washington, D.C.), Spring 2003, at 15
- Charities and the Constitution: Evaluating the Role of Constitutional Principles in Determining the Scope of Tax Law's Public Policy Limitation for Charities*, 5 FLA TAX REV. 779 (2002)
- The Service Renews its Crack Down on Taxpayers Who Make Tax Refund Claims Based on Entitlement to Slavery Reparations*, 21:3 NEWS LETTER (ABA Sec. Tax'n, Washington, D.C.), Spring 2002, at 9-10
- Real Property v. Personal Property: The Service Reverses its Position that Radio Towers are Real Property for Purposes of the UBIT Exclusion*, 20:3 NEWS QUARTERLY (ABA Sec. Tax'n, Washington, D.C.), Spring 2001, at 8
- Tax Expenditures, Social Justice and Civil Rights: Expanding the Scope of Civil Rights Laws to Apply to Tax-Exempt Charities*, 2001 B.Y.U. L. REV. 167 (2001)
- The Power of The Treasury: Racial Discrimination, Public Policy and "Charity" In Contemporary Society*, 33 U.C. DAVIS L. REV. 389-447 (2000)
- What's in a Name: IRS' Attempts to Tax Nonprofits on the Exploitation of the Organization's or Its Members' Names*, 4:1 COMMUNITY TAX LAW REPORT (Comm'ty Tax Law Project, Richmond, Va.), Winter 2000, at 7-9, 22-27
- Federal Trial Courts Uphold Denial of Casualty Loss Deduction to O.J. Simpson's Neighbors*, 19:3 NEWS LETTER (ABA Sec. Tax'n, Washington, D.C.), Spring 2000, at 8
- More O.J.: Tax Provisions Affect Charitable Giving*, 62:2 UNIVERSITY OF RICHMOND MAGAZINE p. 11 (Winter 2000)
- Loan Guarantees as Liabilities for Purposes of the Exclusion for Debt Discharge*, 19:2 NEWS LETTER (ABA Sec. Tax'n, Washington, D.C.), Winter 2000, at 10
- The Power of The Treasury: Racial Discrimination, Public Policy and "Charity" In Contemporary Society*, American Bar Association Section of Taxation, 2000 Midyear Meeting Materials, January 21, 2000, available in LEXIS, ABA Library, ABA Tax File (2000)
- Legal Considerations in Forming and Operating Tax-Exempt Charities in Virginia* (with Gerald W. S. Carter) (CLE materials prepared for 1999 annual meeting of Old Dominion Bar Association) (available at University of Richmond School of Law library) (approx. 100 pgs) (June 1999)
- Treasury Regulations And Judicial Deference In The Post-Chevron Era*, 13 GA. ST. U. L. REV. 387-430 (1997)
- The Proposed Corporate Sponsorship Regulations: Is The Treasury Department "Sleeping With The Enemy"?* 6 KAN. J.L. & PUB. POL'Y 49-75 (1996)

SIGNIFICANT SPEECHES

- Keynote Address, 2019 Rosenberg Dinner - A Benefit for AppalReD Legal Aid, Appalachian Research and Defense Fund of Kentucky, Inc., Lexington, KY April 27, 2019
- Master of Ceremonies, *A Conversation with Attorney General Eric Holder*, Roy R. and Virginia F. Ray Lecture Series, University of Kentucky, Lexington, KY, February 6, 2018
- Master of Ceremonies, *A Conversation with Justice Neil Gorsuch*, Heyburn Initiative Lecture Series, University of Kentucky, Lexington, KY, September 20, 2017
- Keynote Address (with Former ABA President Robert J. Grey, Jr.), *Leadership. Action. Results. Working to Build a More Open and Diverse Legal Profession*, 2017 Diversity & Inclusion Summit, Kentucky Bar Association, Lexington, KY, April 7, 2017
- Master of Ceremonies, *A Conversation with Chief Justice John G. Roberts, Jr.*, Heyburn Initiative Lecture Series, University of Kentucky, Lexington, KY, February 1, 2017

Master of Ceremonies, *A Lecture by Justice Samuel Alito*, Roy R. and Virginia F. Ray Lecture Series, University of Kentucky, Lexington, KY, September 24, 2015

A Conversation with Justice Elena Kagan, Roy R. and Virginia F. Ray Lecture Series, University of Kentucky, Lexington, KY, September 19, 2013

Luncheon Keynote Address, *Tax Law's Impact on Fraternities and Sororities*, Fraternal Law Conference, Cincinnati, OH, November 9, 2012

Welcome Speech, *Civil Rights History of University of Kentucky College of Law*, Kentucky Civil Rights Hall of Fame Induction Ceremony, Lexington, KY, October 17, 2012

A Conversation with Justice Clarence Thomas, Roy R. and Virginia F. Ray Lecture Series, University of Kentucky, Lexington, KY, April 5, 2012

Black History Month Keynote Address, *"Reflections" on Race Relations at the UK College of Law*, United States Attorney's Office for Eastern District of Kentucky, Lexington, KY, February 10, 2011

Welcome Address and Introduction of Kentucky Governor Steve Beshear, *Rose at 20: A Celebration of the Twentieth Anniversary of Rose v. Council for Better Education*, Louisville, KY, October 20, 2009

Inauguration Introduction Speech for University President Wilson G. Bradshaw, Florida Gulf Coast University, March 28, 2008

Keynote Address for Minority Student Achievement Network (MSAN) Student Conference, "The Mysteries of Getting into College and Graduate School," Wakefield High School, Arlington, VA, October 18, 2007

Black Law Student Association Martin Luther King Day Celebration Speaker, University of Richmond School of Law, January 18, 1999 & January 17, 2000

Black Law Student Association Willie L. Moore Award Reception Speaker, University of Richmond School of Law, April 22, 1999

ACADEMIC PANELS AND PRESENTATIONS

Discussant, Dean Panel, *Pathways to Becoming a Dean*, Southeast Association of Law Schools Conference, Boca Raton, FL, July 29, 2019

Discussant, Dean Panel, *Bar Examinations (and Assessment of Competency to Practice Law)*, Southeast Association of Law Schools Conference, Boca Raton, FL, July 29, 2019

Panelist, Dean Panel, *Law School Diversity Professionals Conference*, Law School Admissions Council, Cincinnati, OH, March 26, 2019

Moderator, *Law School Admission, Bar Exam, Licensure, and Practice Competency Issues*, Southeast Association of Law Schools Conference, Fort Lauderdale, FL, August 8, 2018

Moderator, *Law School Rankings – Perspectives of USNews, Princeton Review and National Jurist*, Section for the Law School Dean, Association of American Law Schools Annual Meeting, New York, NY, January 9, 2016

Panelist, *Is the Perfect Storm Abating?: A Candid Discussion with Deans*, Southeast Association of Law Schools Conference, July 28, 2015

Panelist, *Scholarship, Teaching and Service Abroad: How to Add a Global Experience to your Academic Resume*, Section on International Legal Exchange, Association of American Law Schools Annual Meeting, Washington, DC, January 3, 2015

Panelist, *Law School Entrepreneurship*, Southeast Association of Law Schools Conference, August 6, 2014

Moderator, *Promoting Diversity in Law School Leadership Workshop: Engaging Key Constituents*, A Conference Co-sponsored by Society of American Law Teachers, Seattle University Law School and University of Washington School of Law, October 11, 2013

Panelist, *'Grasping the Nettle' Legal Education? Law School Deans Engage*, Southeast Association of Law Schools Conference, August 7, 2013

Panelist, *Beyond Economic Efficiency in Tax Policy Analysis*, Tax Reform in 2012 Roundtable Discussion, Southeast Association of Law Schools Conference, August 2, 2012

- Presentation, *The Ins and Outs of Being a Law School Dean*, Dean's Panel, Southeastern Conference Academic Consortium-Academic Leadership Development Program, Lexington, KY October 18, 2011
- Moderator, *Top Ten Issues Facing Legal Education*, Southeast Association of Law Schools Conference, July 30, 2010
- Commentator, *The Law of Philanthropy in the 21st Century – Tax Law*, American College of Trust and Estate Counsel Foundation, Chicago, IL, October 23, 2009
- Speech, *Diverging Perspectives of "Charitable": Federal Income Tax Versus State Property Tax Exemptions for Hospitals and Homes for the Elderly*, Health Law Institute at Hamline University School of Law, St. Paul, MN, February 23, 2009
- Panel Organizer and Presenter, *AALS Site Evaluators Workshop*, Association of American Law Schools Annual Meeting, January 4, 2008 (NY) and January 9, 2009 (CA)
- Presentation, *AALS Role in Amelioration*, AALS Section on Sexual Orientation and Gender Identity Issues Workshop entitled "Solomon Amendment and Beyond: Does Amelioration Ameliorate?," AALS Annual Meeting, San Diego, CA January 7, 2009
- Presentation, *Serving as an AALS Site Evaluator*, ABA Site Evaluator's Workshop, Chicago, IL, February 16 and November 15, 2008
- Presentation, *AALS Role in the Joint Sabbatical Site Evaluation Process*, ABA Site Evaluator Chair Workshop, Chicago, IL, September 12, 2008
- Presentation, *State and Local Tax Perspectives of the Federal "Homes for the Aged" Charitable Tax Exemption*, Property Transactions and Transitions in an Age of Globalization, Conference of the Center on Property, Citizenship and Social Entrepreneurism, Orange, CA February 15, 2008
- Panelist, *Understanding the Charitable Tax Exemption in Terms Other Than Efficiency*, Interdisciplinary Reasons to Recalibrate the Equity/Efficiency Balance in Tax Analysis, Association of American Law Schools Annual Meeting, New York, NY January 4, 2008
- Moderator, *Access: Obstacles, Overcoming Them*, Revisiting the Law School Diversity Imperative, American University College of Law, November 8, 2007
- Panelist, *Succeeding in the Candidate Pool: Resources Available at Association of American Law Schools for Persons Interested in Becoming a Law School Dean*, Promoting Diversity in Law Deanships Conference, Seattle University School of Law, September 28, 2007
- Panelist, *The Increasing Resemblance of Nonprofit and Business Organizations Law*, Fordham Law School Conference on Nonprofit Law, Economic Challenges, and the Future of Charities, March 30, 2007
- Panel Organizer and Presenter, *Beyond Law and Economics: A Law & Market Analysis of Race, Politics, Complexity and Taxes*, Law, Culture and the Humanities Meeting 2005, Austin, TX, March 11, 2005
- Presentation, *A Law, Market and Critical Race Analysis of the Charitable Tax Exemption*, University of Florida College of Law, Gainesville, FL, February 11, 2005
- Panelist, *Tax Exemption Based on Race, Religion and Class*, Second National People of Color Legal Scholarship Conference, Washington, DC, October 8, 2004
- Presentation, *A Rationale for the Charitable Tax Exemption*, University of Georgia School of Law, Athens, GA, September 22, 2004
- Presentation, *A Rationale for the Charitable Tax Exemption*, Florida State University College of Law, Tallahassee, FL, September 9, 2004
- Presentation, *Race and Equality Across the Curriculum: Tax Law*, Association of American Law Schools Racial Justice Workshop, Portland, OR, June 15, 2004
- Presentation, *A Normative Rationale for the Charitable Tax Exemption*, Critical Tax Theory Conference, Rutgers University School of Law - Newark, Newark, NJ, April 3, 2004
- Presentation, *Theory of the Charitable Tax Exemption*, Syracuse University College of Law, Syracuse, NY, March 17, 2004

- Presentation, *Theory of the Charitable Tax Exemption*, St. Louis University School of Law, St. Louis, MO, February 18, 2004
- Panelist, *The Intersection of Race, Corporate Law and Economic Development* (Examining intersections of tax law and race), Northeast People of Color / Ron Brown Center Legal Scholarship Conference, St. John's University School of Law, New York, NY, April 4, 2003
- Author Discussion, *National Center for Philanthropy and the Law Mini-Conference on Working Draft of Casebook: The Tax Law of Charities and Other Exempt Organizations*, National Center for Philanthropy and the Law, New York University School of Law, New York, NY, December 13, 2002
- Panelist, *Race and Race Relations: Perspectives and Recommendations (Examining the role of tax law in the struggle against racism)*, Center for the Study of Race and Race Relations, University of Florida College of Law, Gainesville, FL, March 22, 2002
- Panelist, *Loose Cannons: 'Myths' of Objectivity in Legal Theory and Practice (Examining the Tax Code's ability to promote particular social policies)*, Law, Culture and Humanities Meeting 2002, University of Pennsylvania School of Law, Philadelphia, PA, March 8 - 10, 2002
- Presentation, *Constitutionalizing Tax-Exempt Charities: "Established Public Policy", Racial Equality and Free Expression*, Critical Tax Theory Conference, St. Louis University School of Law, St. Louis, MO, April 21, 2001
- Presentation, *Tax Law and the Constitution: Evaluating the Role of Constitutional Law Doctrine in Determining the Scope of Tax Law's Public Policy Limitation*, Mid-Atlantic People of Color Legal Scholarship Conference, Penn-State/Dickinson School of Law, Carlisle, PA, February 3, 2001
- Commenter, *Restricting the Hate Speech of "Public Figures": Lessons in Censorship from Defamation Law* (by Victor Romero), Mid-Atlantic People of Color Legal Scholarship Conference, Penn-State/Dickinson School of Law, Carlisle, PA, February 2, 2001
- Discussion Leader, Faculty Workshop on *Tax Expenditures, Social Justice and Civil Rights: Expanding the Scope of Civil Rights Laws to Apply to Private Charities*, University of Cincinnati College of Law, Cincinnati, OH, October 23, 2000
- Panelist, *Tax Policy* (with respect to charities), Southeastern Conference of Association of American Law Schools, Captiva Island, FL, August 3, 2000
- Discussant, *PROPERTY-TAX EXEMPTION FOR CHARITIES: MAPPING THE BATTLEFIELD* (Discussing chapter entitled *Legal Theories of Tax Exemption: Sovereignty Quasi and Real*), The Urban Institute Center on Nonprofits and Philanthropy, Washington, DC, June 6-7, 2000
- Panelist, *Constitutional Law: From Plessy to Adarand and Back to Plessy* (tax law implications), Mid-Atlantic People of Color Legal Scholarship Conference, Widener University School of Law, Wilmington, DE, February 10, 2000
- Presentation, *The Power of the Treasury: Racial Discrimination, Public Policy and "Charity" In Contemporary Society*, John Marshall Scholars Seminar, University of Richmond School of Law, Richmond, VA, October 20, 1999
- Presentation, *The Power of The Treasury: Racial Discrimination, Public Policy and "Charity" In Contemporary Society*, First National People of Color Legal Scholarship Conference, Chicago, IL, March 27, 1999
- Commenter, *Gender Essentialism in Tax Literature* (by Dorothy Brown) (published as *Race, Class, and Gender Essentialism in Tax Literature: the Joint Return*, 54 WASH. & LEE L. REV. 1469 (1997)), Northeastern People of Color Legal Scholarship Conference, New England School of Law, Boston, MA, March 1997
- Presentation, *The Unrelated Business Income Tax*, Housing & Finance Clinic, Syracuse University College of Law, Syracuse, NY, October 1996

PROFESSIONAL PANELS AND PRESENTATIONS

- Presentation, *Justice for Us*, Kentucky Black Caucus of Local Elected Officials Annual Spring Summit, Lexington, KY, June 16, 2017

- Presentation, *The Effect of the Economy on the Practice of Law*, Sixth Circuit Judicial Conference, Lexington, KY, April 26, 2012
- Presentation, *Law School Dean as Organizational Leader*, Cincinnati Bar Association Leadership Retreat, Lexington, Kentucky, February 10, 2012
- Presentation, *Living in the Black: Barriers to Wealth Creation in the African American Community (Racial Inequality in the Tax Exemption Context)*, Congressional Black Caucus Foundation, Annual Legislative Conference - Issues Forum, Washington, DC, September 26, 2008
- Presentation, *Nonprofit Organizations: Understanding the IRS Form 990*, Macon Telegraph Newspaper Writer's Workshop, Macon, GA, June 5, 2007
- Chair, Panel Discussion, *State-level Reform of Nonprofit Organizations Law*, American Bar Association Section of Taxation May Meeting, Washington, DC, May 11, 2007
- Presenter, *A Diversity Theory of the Charitable Tax Exemption*, Association for Research on Nonprofit Organizations and Voluntary Action (ARNOVA) Conference 2006, Chicago, IL, November 18, 2006
- Panelist, *The Intersection of Race and Tax*, American Bar Association Section of Taxation May Meeting, Washington, DC, May 7, 2004
- Presentation, *From Penn Central to Pensacola: New Perspectives on Historic Preservation (Tax and Ethical Considerations)*, Third Annual Richard E. Nelson Symposium, University of Florida College of Law, Gainesville, FL, October 3, 2003
- Panelist, *Civil Rights Issues Relating to Scholarships and Grants*, District of Columbia Bar Association Tax Section, Exempt Organizations Committee, Washington, DC, June 19, 2001
- Panelist, *Diversifying the Tax Bar: Implications for Both Form and Substance*, American Bar Association Section of Taxation May Meeting, Washington, DC, May 11, 2001
- Presentation, *Issues Facing Charities that Serve the Community: Alternative Funding Sources and Race/Gender/Sexual Orientation Membership Restrictions* (with Gerald W. S. Carter), Virginia State Bar, Special Committee on Access to Legal Services, Tenth Anniversary Pro Bono Conference, Richmond, VA, May 11, 2000
- Panelist, *The Treasury's Public Policy Power: Identity, Politics, and Other Contexts*, American Bar Association Section of Taxation Mid-Winter Meeting, San Diego, CA, January 21, 2000 (transcript available at 28 EXEMPT ORG. TAX REV. 59 (April 2000))
- Presentation, *Legal Considerations in Forming and Operating Tax-Exempt Charities in Virginia* (with Gerald W. S. Carter), 1999 Annual Meeting of the Old Dominion Bar Association (Virginia Chapter of National Bar Association), Richmond, VA, June 4, 1999

COMMUNITY SERVICE

Special Appointments

- Southern Association of Colleges and Schools, Commission on Colleges and Schools, Peer Review Advisory Board, December 2021 – December 2024
- Southern Association of Colleges and Schools, Commission on Colleges and Schools, [On-Site Accreditation Site Team Chair (March 2023, March 2020, February 2016); On-Site Accreditation Team (February 2015); and Off-Site Reaffirmation Committee (November 2013, November 2019)], 2013 – 2023
- American Bar Association, Section on Legal Education and Admissions to the Bar, Council, Member (Non-JD Subcommittee Chair, September 2021 – August 2022), August 2019 – August 2022
- American Bar Association, Section on Legal Education and Admissions to the Bar, Managing Director Search Committee, Member, August 2019 – August 2020
- American Law Institute, *Restatement of the Law: Charitable Nonprofit Organizations* [formerly "Principles of the Law of Charitable Nonprofit Organizations" (2000-2014)], Adviser, March 2002 – 2019
- American Bar Association, Section on Legal Education and Admissions to the Bar, Accreditation Committee, Member (Vice Chair, September 2017 – August 2018; Non-JD Subcommittee Chair, September 2016 – August 2017), September 2013 – August 2018

American Bar Association, Law School Site Evaluator (Oregon (Chair), Connecticut (Chair), Colorado (Chair), San Diego (foreign program), Florida State (foreign program), Arkansas-Fayetteville (Chair), South Dakota, New England), 2003-22

Kentucky Bar Association, Diversity in the Profession Committee, Member, July 2010 – June 2018

Kentucky Access to Justice Commission, Ex-officio Member, May 2014 – April 2017

American Law Institute, regional Advisory Group, Chair (Region 6), 2014 - 2015

Southern Association of Colleges and Schools, Commission on Colleges and Schools [On-Site Accreditation Site Team Chair (March 2020, February 2016); On-Site Accreditation Team (February 2015); and Off-Site Reaffirmation Committee (November 2013, November 2019)], 2013 - 2020

Association of American Law Schools, Nominating Committee for Dean's Steering Committee, 2015

American Bar Association, Standing Committee on the Law Library of Congress, Member, August 2011 – August 2014

Society of American Law Teachers, Advisory Committee (January 2012 – January 2014)

Association of American Law Schools, Law School Site Evaluator (Golden Gate, Pittsburgh, Iowa, Rogers Williams), 2004-13

Association of American Law Schools, Clerk of House of Representatives, January 6 – 7, 2011

Society of American Law Teachers, Executive Committee, January 2010 – January 2012

Tax Literacy Project, National Advisory Committee and Steering Committee, November 2009 – 2012

Law School Admission Council, Finance and Legal Affairs Committee, August 2009 - August 2011

Association of American Law Schools, Deputy Director, August 2007 - August 2009

Leon County Public Defender's Office, Special Assistant Public Defender (Tallahassee, FL), June 1993 - May 1995

Second Judicial Circuit Pro-Bono Committee (Tallahassee, FL), June 1993 - July 1995

Board Memberships

Bluegrass Care Navigator (formerly Hospice of the Bluegrass), Board of Directors (Board Chair, Investment Committee Chair and Member of Audit & Building Purchase Committees), 2013 – 2022

Southeastern Association of Law Schools, Board of Directors (President-elect, President and Immediate Past President), August 2019 – August 2022

AccessLex Institute (formerly Access Group), Board of Directors, Audit & Nominating Committees, 2014 – 2022

Association of American Law Schools, Section on Law School Dean, Chair & Executive Committee, January 2013 - January 2018

Kentucky Bar Foundation, Board of Governors, July 2009 – present

Association of American Law Schools Section on Nonprofit and Philanthropy Law - Co-Founder, Chair & Executive Committee, January 2006 - August 2007, January 2010 – January 2011

Association of American Law Schools Section on Taxation - Chair & Executive Committee, January 2005 - August 2007

Society of American Law Teachers Board of Governors – Chair, Budget, Finance & Fundraising Committee, January 2004 - August 2007

Association of American Law Schools Minority Groups Section – Chair & Executive Committee, January 2002 - January 2007

Community Tax Law Project Board of Directors, Vice President (Richmond, VA), 1997 – 2003

Frank H. Hiscock Legal Aid Society Board of Directors (Syracuse, NY), January 1997 – June 1997

Conference Planning/Organizing

Critical Tax Theory Conference, Chair (Macon, GA), April 2006

Mid-Atlantic People of Color Legal Scholarship Conference, Executive Committee, 1999 – 2001

State and Local Tax Institute, Founder and Planning Board Coordinator (Richmond, VA), 1997-2000

Volunteer Activities

Habitat for Humanity, Volunteer, various dates and locations since 1996

Florida Guardian Ad Litem Program (Leon County, FL), June 1994 - June 1995

UNIVERSITY SERVICE***University of Kentucky***

Provost Task Force on Diversity, Member, 2019

William T. Young University of Kentucky Library Dean Search Committee, Co-Chair, 2017-2018

Deans Council Task Force on Online Education, Chair, 2017

Blue Ribbon Panel on Graduate Education, Ex-officio Member, 2016 – present

Deans Council Committee on Professional School Funding Model, Chair, 2017

Appalachian Center Advisory Committee, 2014 – 2016

University Strategic Planning Committee, 2013 – 2015

Office of Institutional Diversity Advisory Committee, 2010-2015

Endowment Advisory Group, 2010-2015

Center for Inter-professional HealthCare Board of Directors, 2011-2015

Gatton College of Business Dean Search Committee, Co-Chair, 2010-2012

University of Georgia

Faculty Speakers Committee, 2006-2007

Plagiarism Investigation Panel, 2007

Southern Regional Black Law Students Association Law Journal Advisor, 2006-2007

Mercer University

Tax Law Society Faculty Advisor, 2004-2006

University House of Delegates – Bylaws Revision Committee Chair, 2003-2006

University Planning Council, 2005-2006

Faculty Development Committee Chair, 2004-2005

Distance Learning Committee Chair, 2002-2005

Curriculum Committee, 2004-2005

Association of American Law Schools House of Delegates, 2002, 2005

Continuing Legal Education Committee, 2001-2004

Student Honor Court - Faculty Investigator, 2003

Atlanta Bar Association Minority Clerkship - Faculty Selection Panel, 2002 – 2003

Woodruff Scholarship - Faculty Selection Panel, 2002

New Faculty Handbook - Editor, 2002

University of Richmond

Library & Technology Committee, 1998-2001

Tax Moot Court Advisor, 1997-2001

Jepson School Academic Council, 2000-2001

Academic Support Search Committee - Chair, 1999-2000

University of Richmond Black History Month Planning Committee, 1999-2000

University of Richmond Grievance Committee, 1998-2000

Association of American Law Schools House of Delegates, 1999

Faculty Appointments Committee, 1997-1998

Syracuse University

Faculty Appointments, Lectures & Leaves Committee, 1995-1997

Promotion & Tenure Committee, 1995-1997 (selected representative of untenured professors)

Tax Law Society Advisor, 1996-1997

Black Law Students Association Advisor, 1995-1997

Moot Court Board Advisor, 1995-1997

Syracuse University Mentoring Program Mentor, 1995-1997

MEMBERSHIPS

The Florida Bar, 1992 – present

American Bar Association, 1991 – present

National Bar Association, 1991 - 1995, 2006 – 2009

Macon Bar Association, October 2001 – 2006

Virginia State Bar - Tax & Education of Lawyers Sections, 1998 – 2001

The Bar Association of the City of Richmond, S2000 – 2001

Virginia State Bar, Associate Member, 1998 - July 2001

Tallahassee Bar Association, 1992 - July 1995

Barristers of Tallahassee, 1991 - 1995

11/03/2021

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EXPERIENCE

University of Michigan Law School

Frances and George Skestos Professor of Law. November 2008-present.

Professor. September 2003-October 2008.

Assistant Professor. June 1998-August 2003.

- Edwin N. West Faculty Recognition Award, 2001.

Courses: Civil Procedure, Corporate Lawyer, Legislation & Regulation, Securities Regulation.

University of Iowa Law School

Visiting Professor. August 2003, August 2004, August 2005, August 2006.

Georgetown University Law Center

Visiting Assistant Professor. June 2002-May 2003.

Cato Institute

Visiting Fellow in Capital Market Studies. March 2002-May 2002.

Northwestern University School of Law

Visiting Assistant Professor. January 1998-May 1998.

Office of the General Counsel, Securities and Exchange Commission

Visiting Scholar. January 2002-March 2002.

Senior Counsel, Appellate Section. August 1997-December 1997.

Attorney, Appellate Section. May 1996-August 1997.

- Law & Policy Award, 1997.

Bickel & Brewer

Associate. September 1994-May 1996.

Office of the Solicitor General, United States Department of Justice

Bristow Fellow. September 1993-August 1994.

Hon. J. Harvie Wilkinson III, United States Court of Appeals

Judicial Clerk. July 1992-August 1993.

BOOKS

A History of Securities Law in the Supreme Court (Oxford University Press, forthcoming 2022) (with Robert B. Thompson).

Securities Regulation: Cases and Analysis (Foundation Press, 1st ed. 2005, 2nd ed. 2008, 3rd ed. 2011, 4th ed. 2015, 5th ed. 2019) (with Stephen J. Choi).

Securities Regulation: Essentials (Aspen Publishers, 2008) (with Stephen J. Choi).

ARTICLES

Just Say No? Shareholder Voting on Securities Class Actions, – UNIV. CHI. BUS. L. REV. – (forthcoming 2022) (with Albert H. Choi & Stephen J. Choi) (Symposium).

The Future of Securities Law in the Supreme Court, 2 COLUM. BUS. L. REV. 881 (2021) (with Robert B. Thompson) (Symposium).

Working Hard, or Making Work? Plaintiffs' Attorneys Fees in Securities Fraud Class Actions, 17 J. EMPIRICAL LEG. STUD. 438 (2020) (with Stephen J. Choi & Jessica Erickson).

2nd Circ. Ruling Makes Messy Insider Trading Law Worse, Law 360 (January 27, 2020).

On Prohibition's 100th Anniversary, Should We Expect the Same Fate for Marijuana? CHICAGO TRIBUNE (January 13, 2019) (with Donald J. Boudreaux).

Should I Stay or Should I Go? Gender Gaps in the Market for SEC Lawyers, 62 J. L. & ECON. 427 (2019) (with Stephen J. Choi & G. Mitu Gulati).

Risk and Reward: The Securities Fraud Class Action Lottery, U.S. Chamber Institute for Legal Reform (2019) (with Stephen J. Choi & Jessica Erickson).

An Empirical Comparison of Insider Trading Enforcement in Canada and the United States, 57 INT. REV. L. & ECON. 49 (2019) (with Anita Anand, Stephen J. Choi & Poonam Puri).

Lead Plaintiffs and their Lawyers: Mission Accomplished, or More to Be Done? in RESEARCH HANDBOOK ON SHAREHOLDER LITIGATION (Jessica Erickson, Sean Griffith, Verity Winship, David Webber, eds., 2018) (with Stephen J. Choi).

Securities Law in the Sixties: The Supreme Court, the Second Circuit, and the Triumph of Purpose over Text, 94 NOTRE DAME L. REV. 371 (2018) (with Robert B. Thompson).

Texas Gulf Sulphur and the Genesis of Corporate Liability under Rule 10b-5, 71 SMU L. REV. 927 (2018) (with Robert B. Thompson) (Symposium).

Corporate Governance, Capital Markets, and Securities Law, in THE OXFORD HANDBOOK OF CORPORATE LAW AND GOVERNANCE (Jeffrey N. Gordon & Wolf-Georg Ringe, eds., 2018).

Securities Law and its Enforcers, in RESEARCH HANDBOOK ON CORPORATE CRIME AND FINANCIAL MISDEALING 219 (Jennifer Arlen, ed., 2018) (with Stephen J. Choi).

Insider Trading Law and the Ambiguous Quest for Edge, Book Review: SHEELAH KOLHATKAR, BLACK EDGE (2017),

116 MICH. L. REV. 945 (2018).

Piling On? An Empirical Study of Parallel Derivative Suits, 14 J. EMPIRICAL LEG. STUD. 653 (2017) (with Stephen J. Choi & Jessica Erickson).

The SEC's Shift to Administrative Proceedings: An Empirical Assessment, 34 YALE J. REG. 1 (2017) (with Stephen J. Choi).

Comment, Toward a Theory of Motion Practice and Settlement, 173(1) J. INST. & THEORETICAL ECON. 168 (2017) (Symposium).

Do Delaware CEOs Get Fired? 74 J. BANKING & FIN. 85 (2017) (with Murali Jagannathan).

The SEC, Administrative Usurpation, and Insider Trading, 69 STAN. L. REV. ONLINE 55 (2016).

Carrot or Stick? The Shift from Voluntary to Mandatory Disclosure of Risk Factors, 13 J. EMPIRICAL LEG. STUD. 266 (2016) (with Karen K. Nelson).

SEC Investigations and Securities Class Actions: An Empirical Comparison, 13 J. EMPIRICAL LEG. STUD. 27 (2016) (with Stephen J. Choi).

Halliburton II: A Loser's History, 10 DUKE J. CON. L. & PUB. POL. 27 (2015) (Symposium).

Dirks and the Genesis of Personal Benefit, 68 SMU L. REV. 857 (2015) (Symposium).

History Says Newman Is Faithful To Dirks, LAW 360 (August 14, 2015).

From Basic to Halliburton: Judges made the securities class action mess, but who can clean it up? 37 REGULATION 20 (Winter 2014-2015) (with M. Todd Henderson).

The Influence of Arbitrator Background and Representation on Arbitration Outcomes, 9 VA. L. & BUS. REV. 43 (2014) (with Stephen J. Choi & Jill E. Fisch).

How to fix securities class actions? Ask shareholders, CNBC, <http://www.cnbc.com/id/102161331> (Nov. 6, 2014) (with M. Todd Henderson).

Halliburton Will Raise Cost Of Securities Class Actions, LAW 360 (July 2, 2014) (with M. Todd Henderson).

Frequent Filers: The Problems of Shareholder Lawsuits and the Path to Reform, U.S. Chamber Institute for Legal Reform (2014) (with Stephen J. Choi & Jessica Erickson).

Scandal Enforcement at the SEC: The Arc of the Option Backdating Investigations, 15 AM L. & ECON. REV. 542 (2013) (with Stephen J. Choi & Anat Wiechman).

Frequent Filers: Repeat Plaintiffs in Shareholder Litigation, U.S. Chamber Institute for Legal Reform (2013) (with Stephen J. Choi & Jessica Erickson).

Launching the Insider Trading Revolution: SEC v. Capital Gains Research Bureau, in RESEARCH HANDBOOK ON INSIDER TRADING 33 (Stephen M. Bainbridge, ed., 2013).

Revisiting "Truth in Securities Revisited": Abolishing IPOs and Harnessing Private Markets in the Public Good, 36 SEATTLE U. L. REV. 999 (2013) (Symposium), reprinted in 55 CORP. PRAC. COMM. 653 (2013).

The Supreme Court's Impact on Securities Class Actions: An Empirical Assessment of Tellabs, 28 J. L., ECON., & ORG. 850 (2012) (with Stephen J. Choi).

Facebook, the JOBS Act, and Abolishing IPOs, 35 REGULATION 12 (No. 3, Fall 2012).

Securities Law in the Roberts Court: Agenda or Indifference? 37 J. CORP. L. 105 (2011), reprinted in BUSINESS LAW IN THE ROBERTS COURT 94 (Jonathan H. Adler, ed., 2016).

The Price of Pay to Play in Securities Class Actions, 8 J. EMPIRICAL LEG. STUD. 650 (2011) (with Stephen J. Choi & Drew Johnson-Skinner).

Securities Class Actions Move North: A Doctrinal and Empirical Analysis of Securities Class Actions in Canada, 47 ALBERTA L. REV. 881 (2010) (with Janis P. Sarra).

Populist Retribution and International Competition in Financial Services Regulation, 43 CREIGHTON L. REV. 335 (2010) (Symposium).

Attorneys as Arbitrators, 39 J. LEG. STUD 109 (2010) (with Stephen J. Choi & Jill E. Fisch).

London as Delaware? 78 U. CIN. L. REV. 473 (2009) (Symposium), published in revised form in, 32 REGULATION 22 (No. 3, Fall 2009), reprinted in 52 CORP. PRAC. COMM. 783 (2010).

The Role of Independent Directors in Corporate Groups, 9 J. KOREAN L. 1 (2009), reprinted in KOREAN BUSINESS LAW (Hwa-Jin Kim, ed., 2012).

Securities Law and the New Deal Justices, 95 VA. L. REV. 841 (2009) (with Robert B. Thompson) (Symposium).

The Screening Effect of the Private Securities Litigation Reform Act, 6 J. EMPIRICAL LEG. STUD. 35 (2009) (with Stephen J. Choi & Karen K. Nelson).

Can Shareholders Waive the Fraud-on-the-Market Presumption of Reliance? SECURITIES DOCKET (November 17, 2008).

Encyclopedia of the Supreme Court of the United States, (David S. Tanenhouse, ed., 2008).

The Definition of a Security

Ernst & Ernst v. Hochfelder, 425 U.S. 185 (1976)

Securities and Exchange Commission v. Ralston Purina Co., 346 U.S. 119 (1953)

'Basic' error is focus on loss, NAT. L.J. (September 22, 2008).

Stoneridge Investment Partners v. Scientific-Atlanta: The Political Economy of Securities Class Action Reform, 2007-2008 CATO SUPREME COURT REVIEW 217 (Ilya Shapiro, ed., 2008).

Do the Merits Matter More? The Impact of the Private Securities Litigation Reform Act, 23 J. L., ECON., & ORG. 627 (2007) (with Marilyn F. Johnson & Karen K. Nelson).

Well-Known Seasoned Issuers in Canada, in CANADA STEPS UP, Vol. 5 (Task Force to Modernize Securities Legislation, ed. 2006) excerpted in MARY G. CONDON, ANITA I. ANAND, JANIS P. SARRA AND SARAH BRADLEY,

SECURITIES LAW IN CANADA: CASES AND COMMENTARY (3rd edition 2016).

The Irrational Auditor and Irrational Liability, 10 LEWIS & CLARK L. REV. 19 (2006) (Symposium).

The Regulation of Public Auditing in Canada and the United States: Self-Regulation or Government Regulation?, Fraser Institute (2006) (with Poonam Puri).

Do Institutions Matter? The Impact of the Lead Plaintiff Provision of the Private Securities Litigation Reform Act, 83 WASH. U. L.Q. 869 (2005) (with Stephen J. Choi & Jill E. Fisch). (Symposium), reprinted in 48 CORP. PRAC. COMM. 607 (2006).

Selected: *Top 10 Corporate and Securities Articles of 2006*.

The SEC at 70: Time for Retirement? 80 NOTRE DAME L. REV. 1073 (2005) (Symposium).

What Counts as Fraud? An Empirical Study of Motions to Dismiss Under the Private Securities Litigation Reform Act, 2 J. EMPIRICAL LEG. STUD. 125 (2005) (with Hillary A. Sale).

Should Issuers Be On the Hook for Laddering? An Empirical Analysis of the IPO Market Manipulation Litigation, 73 U. CIN. L. REV. 179 (2004) (with Stephen J. Choi) (Symposium).

Tender Offers by Controlling Shareholders: The Specter of Coercion and Fair Price, 1 BERKELEY BUS. L.J. 83 (2004) (Symposium).

Behavioral Economics and the SEC, 56 STAN. L. REV. 1 (2003) (with Stephen J. Choi), reprinted in 46 CORP. PRAC. COMM. 121 (2004), and *ECONOMICS OF SECURITIES LAW* (Geoffrey P. Miller, ed., 2016).

Justice Lewis F. Powell, Jr. and the Counter-Revolution in the Federal Securities Laws, 52 DUKE L.J. 841 (2003), reprinted in 45 CORP. PRAC. COMM. 727 (2004).

Selected: *Top 10 Corporate and Securities Articles of 2003*.

Too Busy to Mind the Business? Monitoring by Directors with Multiple Board Appointments, 58 J. FIN. 1087 (2003) (with Stephen P. Ferris & Murali Jagannathan).

Self-Regulation and Trust in the Securities Markets, SELF-REGULATORY INSTITUTIONS IN THE KOREAN SECURITIES MARKETS (Hwa-Jin Kim ed., 2002), published in revised form as *Self-Regulation and Securities Markets*, 26 REGULATION 32 (No. 1, Spring 2003).

Should Congress Repeal Securities Class Action Reform? CATO POLICY ANALYSIS No. 471 (2003), published in revised form as *Congress Should Not Repeal the Private Securities Litigation Reform Act*, USA TODAY MAGAZINE 18 (September 2003), reprinted in *AFTER ENRON: LESSONS FOR PUBLIC POLICY* (William A. Niskanen, ed., 2004).

Who Cares? 80 WASH. U. L.Q. 883 (2002) (Symposium).

Statutes with Multiple Personality Disorders: The Value of Ambiguity in Statutory Design and Interpretation, 54 STAN. L. REV. 629 (2002) (with Joseph A. Grundfest).

Constitutional Federalism, Individual Liberty and the Securities Litigation Uniform Standards Act of 1998, 78

WASH. U. L.Q. 435 (2000) (Symposium).

In re Silicon Graphics Inc.: Shareholder Wealth Effects Resulting from the Interpretation of the Private Securities Litigation Reform Act's Pleading Standard, 73 SO. CAL. L. REV. 276 (2000) (with Marilyn F. Johnson & Karen K. Nelson), reprinted in 43 CORP. PRAC. COMM. 477 (2001), excerpted in THOMAS W. JOO, CORPORATE GOVERNANCE: LAW, THEORY, AND POLICY (2004).

Markets as Monitors: A Proposal To Replace Class Actions with Exchanges as Securities Fraud Monitors, 85 VA. L. REV. 925 (1999), reprinted in 33 SECURITIES L. REV. 255 (2001).

A Little Cash, a Lot More Donors, DETROIT FREE PRESS 11A (Sept. 28, 1999) (with Donald J. Boudreaux).

Finding the Constitution: An Economic Analysis of Tradition's Role in Constitutional Interpretation, 77 N.C. L. REV. 409 (1999) (with Todd J. Zywicki), reprinted in ECONOMIC APPROACHES TO LEGAL REASONING AND INTERPRETATION (Brian Bix, ed. Forthcoming 2018)

Constitutions and Spontaneous Orders: A Response to Professor McGinnis, 77 N.C. L. REV. 537 (1999) (with Todd J. Zywicki).

The Securities Litigation Uniform Standards Act of 1998: The Sun Sets on California's Blue Sky Laws, 54 BUS. LAW. 1 (1998) (with David M. Levine), excerpted in ROBERT W. HAMILTON, CORPORATIONS (INCLUDING PARTNERSHIPS AND LIMITED LIABILITY PARTNERSHIPS) (7th ed., 2001).

United States v. O'Hagan: Agency Law and Justice Powell's Legacy for the Law of Insider Trading, 78 B.U. L. REV. 13 (1998), reprinted in 40 CORP. PRAC. COMM. 307 (1999), 31 SECURITIES L. REV. 325 (1999), and INSIDER TRADING (Stephen M. Bainbridge, ed., 2012).

Legalize It? A Demand-Side Strategy for the War on Drugs, 2 CHI. POL'Y REV. 51 (1997).

United States v. O'Hagan: Supreme Court Affirms Validity of Misappropriation Theory of Insider Trading, 2:3 SEC. REP. 2 (1997) (with Richard H. Walker).

Auctioning Justice: Legal and Market Mechanisms for Allocating Criminal Appellate Counsel, 34 AM. CRIM. L. REV. 1161 (1997).

The New Securities Class Action: Federal Obstacles, State Detours, 39 ARIZ. L. REV. 641 (1997) (with Richard H. Walker & David M. Levine) (Symposium).

Book Review: LEONARD W. LEVY, LICENSE TO STEAL (1996), 16 CATO J. 152 (1996) (with Donald J. Boudreaux).

Civil Forfeiture and the War on Drugs: Lessons from Economics and History, 33 SAN DIEGO L. REV. 79 (1996) (with Donald J. Boudreaux).

Innocence Lost: Bennis v. Michigan and the Forfeiture Tradition, 61 MO. L. REV. 593 (1996) (with Donald J. Boudreaux).

Would you like to forfeit your house? WASH. TIMES A22 (March 15, 1996) (with Donald J. Boudreaux).

Civil Forfeiture as a 'Sin Tax,' INDEPENDENT POLICY REPORT (1996), reprinted in TAXING CHOICE: THE PREDATORY POLITICS OF FISCAL DISCRIMINATION (William F. Shughart II, ed., 1997) (with Donald J. Boudreaux).

O'Melveny & Myers v. FDIC: *Imputation of Fraud and Optimal Monitoring*, 4 SUP. CT. ECON. REV. 179 (1995).

The Price of Prohibition, 36 ARIZ. L. REV. 1 (1994) (with Donald J. Boudreaux), reprinted in FRANKLIN W. ZIMRING & BERNARD HARCOURT, CRIMINAL LAW AND THE REGULATION OF VICE (2007).

Reassessing the Role of the Independent Judiciary in Enforcing Interest-Group Bargains, 5 CONST. POL. ECON. 1 (1994) (with Donald J. Boudreaux).

Rewriting the Constitution: An Economic Analysis of the Constitutional Amendment Process, 62 FORDHAM L. REV. 111 (1993) (with Donald J. Boudreaux), reprinted in CONSTITUTIONAL POLITICAL ECONOMY (Stefan Voight, ed. 2003).

Note: *Government Promises and Due Process: An Economic Analysis of the "New Property,"* 77 VA. L. REV. 1053 (1991).

WORKING PAPERS

Coalitions among Plaintiffs' Attorneys in Securities Fraud Class Actions (December 2021) (with Stephen J. Choi & Jessica Erickson).

The In Crowd? The Effect of Appearance, Ethnicity, and Gender on the Career Paths of SEC Enforcement Attorneys (May 2019) (with Stephen J. Choi & G. Mitu Gulati).

TESTIMONY

Evaluating S. 1551: The Liability for Aiding and Abetting Securities Violations Act of 2009, Senate Committee on the Judiciary, Subcommittee on Crime and Drugs (September 2009).

PRESENTATIONS

Corporate Governance and the Supreme Court, Distinguished Speaker Series, Neel Corporate Governance Center, University of Tennessee (October 2022).

Symposium on the Economic Structure of Corporate Law, University of Chicago Law School (March 2022).

Conference on Empirical Legal Studies, Society for Empirical Legal Studies, University of Toronto Law School (March 2022).

The Future of Securities Regulation, Columbia Law School (December 2021).

Annual Securities Regulation Program, Los Angeles County Bar Association (October 2021).

Annual Meeting, American Law & Economics Association (October 2021).

Keynote Address: *Securities Law and the Supreme Court*, Business Law: Connecting the Threads, University of Tennessee Law School (September 2021).

National Business Law Scholars Conference, University of Tennessee (June 2021).

Faculty Workshop, Duke University Law School (November 2020).

Law & Economics Workshop, University of Michigan Law School (September 2020).

Tulane Corporate and Securities Law Roundtable, Tulane University School of Law (March 2020).

Securities and Consumer Litigation – Pathways and Hurdles, Institute for Law and Economic Policy Litigation Conference, Fordham School of Law (Commentator) (February 2020).

Annual Securities Regulation Program, Los Angeles County Bar Association (October 2019).

National Business Law Scholars Conference, University of California-Berkeley (June 2019).

Junior Scholars Colloquium, Federalist Society, Annapolis, Maryland (Commentator) (June 2019).

Annual Meeting, American Law & Economics Association (May 2019).

Securities Litigation Contagion, U.S. Chamber of Commerce (February 2019).

Council of Institutional Investors Webinar, *Should Public Companies be Permitted to Include Mandatory Arbitration Clauses in the Governing Documents?* (December 2018).

Conference on Empirical Legal Studies, Society for Empirical Legal Studies, University of Michigan Law School (November 2018).

Social Media and the Securities Laws, Law Society, London (November 2018).

A Decade of Corporate Governance in the Era of Post-Crisis: Review and Prospect, 18th International Conference of 21st Century Commercial Law Forum, Commercial Law Research Center, Tsinghua University (October 2018).

Annual Meeting, Canadian Law & Economics Association (September 2018).

National Business Law Scholars Conference, University of Georgia (June 2018).

Annual Meeting, American Law & Economics Association (May 2018).

Law, Identity and Politics Workshop, Duke University School of Law (March 2018).

Law & Economics Workshop, University of Michigan Law School (January 2018).

Center on Finance, Law, and Policy Blue Bag Lunch, University of Michigan (November 2017).

Annual Securities Regulation Program, Los Angeles County Bar Association (October 2017).

A New Look at the Role of Institutional Investors in Corporate Governance, Korea Corporate Governance Service, Seoul (Conference Co-organizer & presenter) (September 2017).

Annual Meeting, Canadian Law & Economics Association (September 2017).

National Business Law Scholars Conference, University of Utah (June 2017).

Enforcement of Securities Law Violations, Program on Ethics in Law and Business, University of Toronto (April 2017).

American Bar Association Business Law Section Webinar, Breaking News, *The Supreme Court's Decision in Salman v. U.S.: What Does it Mean for Private Practitioners?* (January 2017).

Journal of Law, Finance, and Accounting Annual Conference, Northwestern Pritzker School of Law (Commentator) (November 2016).

National Business Law Scholars Conference, University of Chicago (June 2016).

The Remedies Game, 34th Seminar on the New Institutional Economics, Max Planck Institute (Commentator) (June 2016).

Conference on Empirical Legal Studies, Society for Empirical Legal Studies, Washington University Law School in St. Louis (October 2015).

Conference on Investor Recovery, Canadian Foundation for the Advancement of Investor Rights (October 2015).

Annual Securities Regulation Program, Los Angeles County Bar Association (October 2015).

Defining the Boundaries of Insider Trading, UCLA Law Review & Lowell Milken Institute, UCLA School of Law (October 2015).

Insider Trading Re-Visited: Where Are We After Newman? American Bar Association, Business Law Section, Fall Meeting (September 2015).

Federalist Society, University of Michigan Washington, DC Alumni Chapter (July 2015).

Annual Meeting, American Law & Economics Association (May 2015).

Strategy & Risk Forum, National Association of Corporate Directors, San Diego (May 2015).

The Status of the Fraud on the Market Theory and Securities Class Action Post-Halliburton II, New York University School of Law (February 2015).

Duke Journal of Constitutional Law & Public Policy Symposium, *Halliburton v. Erica P. John Fund*, Duke University School of Law (February 2015).

Public and Private Enforcement of Corporate and Securities Law – China and the World, The Chinese University of Hong Kong (December 2014).

Halliburton 2 – The Earthquake that Didn't Happen and the Future of Securities Litigation, American Bar Association, Business Law Section, Fall Meeting (November 2014).

Second Annual Workshop for Corporate & Securities Litigation, University of Richmond Law School (October 2014).

The New Landscape of Securities Fraud Class Actions, Institute for Law and Economic Policy & Institute for Investor Protection, Loyola University Chicago School of Law (October 2014).

McCarthy Tetraault Speakers Series, Western University Law School (October 2014).

George A. Leet Business Law Symposium, *Securities Disclosure: Theory and Practice*, The Center for Business Law and Regulation, Case Western Reserve School of Law (October 2014).

“The NEXT” Global Conference, *The Ascent of Global Alternative Investment*, Seoul (Conference Co-organizer & presenter) (September 2014).

Roundtable, *Halliburton v. Erica P. John Fund*, National Business Law Scholars Conference, Loyola Law School, Los Angeles (June 2014).

The Effective General Counsel in the Boardroom, National Association of Corporate Directors, New York (May 2014).

Erica P. John Fund & Beyond: The Past, Present, and Future of Securities Class Actions, U.S. Chamber of Commerce (February 2014).

Asia Law Society, *Corporate Law in Asia: Trends and Opportunities*, University of Michigan Law School (Introductory Remarks) (February 2014).

Center for Law, Business and Economics Colloquium, University of Texas School of Law (February 2014).

Center on Finance, Law, and Policy, Debate: Shareholder Value: Myth or Reality? Ross School of Business, University of Michigan (November 2013).

First Annual Workshop for Corporate & Securities Litigation, Chicago (November 2013).

“The NEXT” Global Conference, *The Global State of Corporate Governance*, Seoul (October 2013).

14th Annual Legal Reform Summit, U.S. Chamber of Commerce (October 2013).

Junior Scholars Colloquium, Federalist Society, Airlie Center, Warrenton, Virginia (Commentator) (June 2013).

Cornerstone Research, New York (May 2013).

The Effective General Counsel in the Boardroom, National Association of Corporate Directors, New York (May 2013).

Annual Meeting, American Law & Economics Association (May 2013).

Law and Business Conference, Vanderbilt University School of Law (April 2013).

13th Annual Legal Reform Summit, U.S. Chamber of Commerce (October 2012).

Brown-Bag Lunch, Securities and Exchange Commission (July 2012).

Berle IV Conference, University College, London (June 2012).

Junior Scholars Colloquium, Federalist Society, Airlie Center, Warrenton, Virginia (Commentator) (June 2012).

Annual Meeting, American Law & Economics Association (May 2012).

American Bar Association Section of Litigation Annual Conference, Washington, DC (April 2012).

Second Annual Junior Faculty Business and Financial Law Workshop, George Washington University Law School (Commentator) (February 2012).

Corporate Roundtable: Issues in Securities Regulation, Institute for Law and Economics, University of Pennsylvania (Commentator) (December 2011).

Conference on Empirical Legal Studies, Society for Empirical Legal Studies, Northwestern University Law School (November 2011).

"The NEXT" Global Conference: *A New Era of Business and Finance in East Asia*, Korea Investment Bankers Forum, Seoul (October 2011).

Law & Business Seminar, Vanderbilt University School of Law (September 2011).

The SEC and the Supreme Court, SEC Historical Society (June 2011).

Bert W. Wasserman Workshop in Law and Finance, Yale Law School Center for the Study of Corporate Law (April 2011).

Federalist Society, Washington University in St. Louis Law School (March 2011).

Global and Comparative Corporate Governance, O.P. Jindal Global Law School (March 2011).

Sixth Annual NYU/Penn Conference on Law and Finance, New York University (February 2011).

International Business Seminar, New York University Law School (November 2010).

Conference on Empirical Legal Studies, Society for Empirical Legal Studies, Yale Law School (November 2010).

Illinois Corporate Colloquium, University of Illinois College of Law (November 2010).

Faculty Workshop, Marquette University Law School (October 2010).

Business Law and Regulation in the Roberts Court, Center for Business Law & Regulation, Case Western Reserve University School of Law (September 2010).

Tenth Circuit Bench & Bar Conference (August 2010).

18th Mitsui Finance Symposium: Governance and Markets, University of Michigan Business School (May 2010).

Civil Litigation Conference, Advisory Committee on Civil Rules, Duke University School of Law (May 2010).

Annual Meeting, American Law & Economics Association (May 2010).

Federalist Society, University of Alabama School of Law (February 2010).

Conference on Empirical Legal Studies, Society for Empirical Legal Studies, University of Southern California School of Law (November 2009).

Federalist Society, Cumberland School of Law, Samford University (October 2009).

Annual Meeting, Canadian Law & Economics Association (October 2009).

Lessons of the Financial Crisis: Implications for Regulatory Reform, Creighton University Law School (September 2009).

From Ivy to Olives Academic Symposium, Ono Academic College, Tel Aviv (June 2009).

Annual Meeting, American Law & Economics Association (May 2009).

Boards of Directors and the Financial Crisis: How Directors Should React, Federalist Society, Boston College (April 2009).

2009 Corporate Law Symposium, University of Cincinnati College of Law (April 2009).

A New Era Dawns for Asian Capital Markets, Asia Law Society, University of Michigan Law School (Moderator) (March 2009).

Future of Financial Regulation, Vanderbilt University Law School (March 2009).

Legal Fallout from the Financial Crisis, Teleconference, American Bar Association (January 2009).

Section on Securities Regulation, Association of American Law Schools Annual Meeting (January 2009).

Federalist Society, University of Chicago (November 2008).

Innovation, Business and Law Colloquium, University of Iowa College of Law (November 2008).

Federalist Society, New York University (November 2008).

Advanced Corporate Law Seminar, University of Toronto School of Law (October 2008).

Research Symposium on Empirical Studies of Civil Liability, Searle Center of Law, Regulation, and Economic Growth, Northwestern University (October 2008).

SEC 75th Anniversary Symposium, University of Virginia School of Law (September 2008).

Panel on the Business of the Court: Securities, Energy, Regulation, The Supreme Court: Past and Prologue, A Look at the October 2007 and October 2008 Terms, Cato Institute (September 2008).

Securities Class Action Litigation: The Problem, Its Impact and the Path to Reform, Manhattan Institute (July 2008).

Annual Meeting, American Law & Economics Association (May 2008).

Second Annual Capital Markets Summit, U.S. Chamber of Commerce Center for Capital Markets Competitiveness (March 2008).

The Future of Securities Fraud Litigation, Financial Economics Institute, Claremont McKenna College and RAND Corporation (February 2008).

Conference on Empirical Legal Studies, Society for Empirical Legal Studies, New York University School of Law (November 2007).

The Future of Securities Class Actions in Canada, Toronto Stock Exchange (November 2007).

Eugene P. and Delia S. Murphy Conference on Corporate Law, Fordham University School of Law (October 2007).

Capitol Hill Campus, Mercatus Center, George Mason University (September 2007).

The Future of Securities Class Actions in Canada, Toronto Stock Exchange Lecture, University of British Columbia Faculty of Law, National Centre for Business Law (September 2007).

Roundtable, Implications of Securities Class Actions for American Competitiveness, Task Force on Capital Markets, Economic and Information Security, United States House of Representatives (September 2007).

Law & Economics Workshop, Vanderbilt University School of Law (February 2007).

Institute for Law and Economic Policy Conference (February 2007).

Section on Securities Regulation, Association of American Law Schools Annual Meeting (January 2007).

Conflicts of Interest in Investment Banking, Korea Securities Dealers Association (November 2006).

Institute for Law and Economics Seminar, University of Pennsylvania Law School (April 2006).

Conference on History in Corporate Law, Washington & Lee Law School (March 2006) (Commentator).

Section on Securities Regulation, Association of American Law Schools Annual Meeting (January 2006) (Panel Participant).

Eugene P. and Delia S. Murphy Conference on Corporate Law, Fordham University School of Law (October 2005).

Law & Economics Workshop, University of Michigan Law School (October 2005).

Behavioral Analysis of Corporate Law: Instruction or Distraction? 11th Annual Fall Business Law Forum, Lewis & Clark Law School (September 2005).

Institute for Law and Economic Policy Conference (April 2005).

Faculty Colloquium, University of Alabama School of Law (March 2005).

Conference on the Corporate Governance of Group Companies, Korea Development Institute (November 2004).

The SEC at 70, Notre Dame Law School (September 2004).

Empirical Research on Securities Fraud Litigation, American Enterprise Institute (March 2004).

2004 Corporate Law Symposium, University of Cincinnati College of Law (February 2004).

Pomerantz Lecture Program, Brooklyn Law School (February 2004).

European Business Conference, University of Michigan Business School (Panel Participant) (January 2004).

Eugene P. and Delia S. Murphy Conference on Corporate Law, Fordham University School of Law (Commentator) (November 2003).

Friday Afternoon Faculty Colloquium, University of Texas School of Law (November 2003).

Faculty Workshop, Washington & Lee University School of Law (October 2003).

Annual Meeting, American Law & Economics Association (September 2003).

Conference: The Role of Law in Creating Long Term Value for Shareholders, University of California – Berkeley (Boalt Hall) (August 2003).

Third Annual Joe C. Davis Law and Business Program Conference, Vanderbilt University School of Law (March 2003).

Advanced Business Law Seminar, Fordham Law School (March 2003).

Faculty Workshop, University of North Carolina School of Law (February 2003).

Sloan Interdisciplinary Workshop, Georgetown University Law Center (February 2003).

Corporate Control Transactions Conference, University of Pennsylvania School of Law (Commentator) (February 2003).

Federalist Society Faculty Division Conference, The Criminalization of Corporate Conduct (Panel Participant) (January 2003).

Faculty Workshop, George Mason University School of Law (October 2002).

Law & Economics Workshop, Law School, University of California – Berkeley (Boalt Hall) (October 2002).

Sloan Interdisciplinary Workshop, Georgetown University Law Center (September 2002).

Annual Meeting, American Law & Economics Association (May 2002).

Institute for Law and Economic Policy Litigation Conference (Commentator) (March 2002).

The Korea Stock Exchange International Conference On Self-Regulatory Institutions in the Korean Securities Markets (December 2001).

Practising Law Institute, 33rd Annual Institute on Securities Regulation (November 2001).

American Law Introductory Course, Atlantische Akademie: Rheinland-Pfalz and the German-American Lawyers Association (Lecturer) (August 2001).

Annual Meeting, American Law & Economics Association (May 2001).

Judging Business: The Role of Judicial Decisionmaking in Corporate and Securities Law, University of Michigan Law School (Conference Organizer) (April 2001).

First Annual Joe C. Davis Law and Business Program Conference, Vanderbilt University School of Law (March 2001).

Law and Finance Conference, University of Virginia School of Law (May 2000).

Annual Meeting, American Law & Economics Association (May 2000).

Law & Economics Workshop, University of Michigan Law School (April 2000).

Center for Corporate Law, University of Cincinnati College of Law (March 2000).

F. Hodge O'Neal Corporate and Securities Law Symposium, Washington University School of Law (March 2000).

Public Choice Outreach Conference, George Mason University (May 1999).

Annual Meeting, American Law & Economics Association (May 1999).

Law & Economics Workshop, Vanderbilt University School of Law (April 1999).

Law & Economics Workshop, University of Michigan Law School (September 1998).

The 21st Century Change Imperative: Evolving Organizations & Emerging Networks, Center for the Study of Organizational Change, College of Business & Public Administration, University of Missouri-Columbia (June 1998).

Insider Trading Debate, Federalist Society, Cornell Law School (May 1997).

Annual Meeting, Southern Economic Association (November 1993).

EDUCATION

University of Virginia, School of Law

- Juris Doctor, May 1992.
 - Class Rank: 2nd of 378.
- Order of the Coif.
- Robert E. Goldsten Award for Distinction in the Classroom.
- Olin Prize for Best Paper in Law and Economics.
- Law School Alumni Association Best Note Award.
- Olin Fellowship in Law and Economics.
- Articles Development Editor: *Virginia Law Review*.

University of Chicago, Harris School of Public Policy Studies

- Master of Public Policy with Honors, June 1989.
- Full tuition fellowship.
- Honors Paper: *Democracy and the Administrative State*.

University of Virginia, College of Arts and Sciences

- Bachelor of Arts with Distinction, August 1987: Political and Social Thought.
 - Echols Scholar.
- Junior Year Abroad, 1985-86: University of St. Andrews, Scotland.
- Undergraduate thesis: *The Political Thought of British Imperialism*.

AFFILIATIONS

Federalist Society, Senior Scholars Advisory Board.

Seoul Corporate Governance Forum, Academic Fellow.

Wolters Kluwer Securities Regulation Advisory Board.

The International Journal of Comparative Law, Board of Editors.

KLRI Journal of Law and Legislation, Board of Editors.

Cato Supreme Court Review, Editorial Board.

American Law & Economics Association, Member.

Society for Empirical Legal Studies, Member.

Virginia Bar, Associate Member.

Nasdaq Listing Qualifications Panel, Member, 2006-2015.

FINRA National Adjudicatory Council, Member, 2012-2014.

Journal of Korean Law, Board of Editors, 2010-2014.

Director, Empirical Legal Studies Center, University of Michigan Law School, 2008-2014.

John M. Olin Center for Law & Economics, University of Michigan Law School, Executive Committee, 2004-2006.

Association of American Law Schools, Securities Regulation Section, Executive Committee, 2003-2006.

Personal: Married to the Hon. Joan Larsen, with two children, Elizabeth & Benjamin.